



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yencho, Stephen; et. al.

Assignee: Cardica, Inc.

Title: Implantable Superelastic Anastomosis Device

Serial No.: 09/687,216

Filing Date: October 12, 2000

Examiner: Ismael Izaguirre

Group Art Unit: 3765

Docket No.: 032405-018

#116
JL
10-303

RECEIVED
SEP 04 2003

TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the following items listed on the attached form PTO-1449 are brought to the attention of the Examiner in conjunction with the RCE filed herewith.

A copy of a cumulative patent listed on the attached form PTO-1449 have not been provided, pursuant to MPEP 609(III)(A)(2). That cumulative patent is U.S. Pat. No. 6,599,303 to Peterson et. al., a child of U.S. Pat. No. 6,152,937, a copy of which has been provided previously.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063